

# Response of vegetation to drought time-scales across global land biomes

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**We evaluated the response of the Earth land biomes to drought by correlating a drought index with three global indicators of vegetation activity and growth: vegetation indices from satellite imagery, tree-ring growth series, and Aboveground Net Primary Production (ANPP) records. Arid and humid biomes are both affected by drought, and we suggest that the persistence of the water deficit (i.e., the drought time-scale) could be playing a key role in determining the sensitivity of land biomes to drought. We found that arid biomes respond to drought at short time-scales; that is, there is a rapid vegetation reaction as soon as water deficits below normal conditions occur. This may be due to the fact that plant species of arid regions have mechanisms allowing them to rapidly adapt to changing water availability. Humid biomes also respond to drought at short time-scales, but in this case the physiological mechanisms likely differ from those operating in arid biomes, as plants usually have a poor adaptability to water shortage. On the contrary, semiarid and subhumid biomes respond to drought at long time-scales, probably because plants are able to withstand water deficits, but they lack the rapid response of arid biomes to drought. These results are consistent among three vegetation parameters analyzed and across different land biomes, showing that the response of vegetation to drought depends on characteristic drought time-scales for each biome. Understanding the dominant time-scales at which drought most influences vegetation might help assessing the resistance and resilience of vegetation and improving our knowledge of vegetation vulnerability to climate change.**

drought impacts | NDVI | drought adaptation | Standardized Precipitation Evapotranspiration Index | drought index

**D**rought is a natural phenomenon that occurs when water availability is significantly below normal levels over a long period and the supply cannot meet the existing demand. Drought is one of the main drivers of the reduction in Aboveground Net Primary Production (ANPP) (1), although land ecosystems differ in their sensitivity to drought (2). However, a general theory of the effects of drought on land vegetation is lacking and the subject of scientific debate (2–4).

Understanding the response of land vegetation to drought is a crucial challenge, as growth and CO<sub>2</sub> uptake by plants are constrained to a large extent by drought (5). Its study is hindered by difficulties for drought quantification (6) and by the synergistic effects of temperature rise and drought on vegetation (7, 8). Differences in the physiological response of plant species to drought determine different levels of resistance and resilience to water deficits (9, 10) and ultimately influence the type of impact of a drought, differentiating those that slow growth (11) or reduce greenness (12), those that lead to loss of biomass (5), and those that result in plant mortality (8, 13).

The quantification of drought is a difficult task, as we usually identify a drought by its effects on different systems (agriculture, water resources, ecosystem), but there is not a unique physical variable we can measure to quantify drought intensity. Droughts are difficult to pinpoint in time and space, and it is very difficult to

quantify their duration, magnitude, and spatial extent with a single variable or metric. Furthermore, the intrinsic multiscale nature of drought introduces another element of uncertainty. In recent years the concept of drought time-scale has been widely used in drought studies (6, 14). The term refers to the time lag that typically exists between the starting of a water shortage and the identification of its consequences, for example by a decrease of the ANPP or an increase of tree mortality. Thus, the time-scales at which different plant species respond to drought may differ noticeably (11, 12, 15).

The response to water deficit among vegetation types is a crucial issue underlying geographic patterns of vegetation and a central concept to understanding the structure and dynamic of terrestrial ecosystems (2, 16). Nevertheless, the way by which the temporal variability of drought determines vegetation activity across the world biomes remains largely unknown because vegetation types have different characteristic response times (11, 15) and vulnerability (9, 10) to drought. Moreover, most studies considered the response of vegetation to climate by means of the simple anomaly of precipitation with respect to the average conditions. Such approach neglects the role of temperature and the drought time-scale at which the response of vegetation is highest. Both elements are essential to identify the response to climate variability and to understand the sensitivity of vegetation to drought.

In this study we focus on the analysis of drought impacts on vegetation by means of three vegetation parameters: (i) vegetation activity and greenness, (ii) tree radial growth, and (iii) ANPP. We stress the importance of considering the drought time-scale to understand drought impacts on a variety of vegetation types and biomes. For this purpose, we used the Standardized Precipitation Evapotranspiration Index (SPEI) (17), which is a site-specific drought indicator of deviations from the average water balance (precipitation minus potential evapotranspiration) (*SI Appendix*). Different SPEIs are obtained for different time-scales representing the cumulative water balance over the previous *n* months. The SPEI includes the role of temperature on drought severity by means of its influence on the atmospheric evaporative demand, hence improving the performance of previous drought indices based on precipitation data alone when determining the drought impacts on different hydrological and ecological systems (6, 18).

## Results and Discussion

Considering an annual summary of the analysis of the Global Inventory Modeling and Mapping Studies–Normalized Difference Vegetation Index (GIMMS-NDVI) dataset, the vegetation activity

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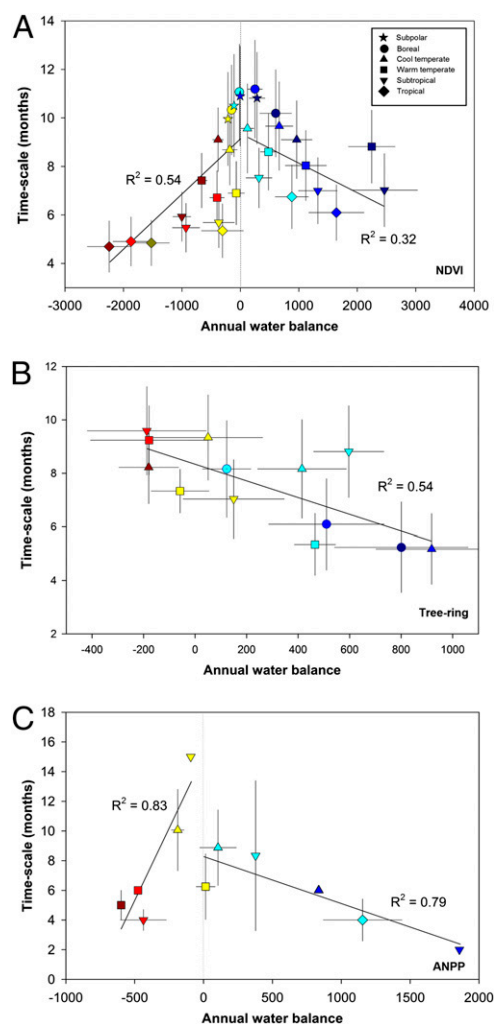
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The time-scales at which droughts affect vegetation provide useful information to understand how biomes respond to drought. From analysis of the SPEI time-scales at which the maximum correlations are recorded, we found that vegetation activity responds predominantly to short drought time-scales (e.g., 2–4 mo; *SI Appendix, Fig. S7*), although spatial variability is high (Fig. 1*B*). Nevertheless, it is possible to identify general patterns, as the NDVI, for example, tends to respond to shorter drought time-scales in arid areas than in humid ones. This pattern is particularly evident in regions that include the most arid biomes. In warm temperate, subtropical, and tropical regions, the most arid biomes tend to respond at shorter time-scales than the humid ones (Fig. 3). This could be related to different mechanisms, which allow plants to reduce the damage caused by water deficits in arid areas (9). Generally, arid ecosystems respond in a highly plastic way to water availability (26), as plant species are adapted to water shortage (27) thanks to physiological, anatomical, and functional strategies that reduce water loss, respiration costs, photosynthetic activity, and growth rate



**Fig. 3.** (A) Relationships between the average SPEI time-scales at which the maximum SPEI/GIMMS-NDVI correlation is found and the average annual water balance across eco-regions considering separately negative and positive water balances. (B) Relationship between the average SPEI time-scale at which maximum SPEI/tree-ring correlation is found and the average annual water balance across eco-regions. (C) Relationship between the average SPEI time-scale at which maximum SPEI/ANPP correlation is found and the average annual water balance across eco-regions for negative and positive water balances. Error bars represent  $\pm 1/2$  SDs. The linear fits and the coefficients of determination are also shown in all graphs. See corresponding colors in the legend of Fig. 2.

(9). When areas with positive water balance are analyzed independently, it is found that correlations between SPEI and NDVI (Fig. 4*A*, blue), ANPP (Fig. 4*B*, blue), and tree growth (Fig. 4*C*) tend to occur at shorter time-scales as the average water balance increases. This suggests that the influence of drought time-scales is relevant to explain the temporal variability of vegetation parameters also in humid biomes.

In contrast with arid and humid regions, vegetation in semiarid and subhumid regions tends to respond to drought at longer time-scales. Vegetation of these regions is adapted to tolerate regularly periods of water deficit and has physiological mechanisms to cope with these conditions (9). Therefore, it is a reasonable hypothesis to consider that these plant communities must be exposed to sustained water deficits—that is, those registered by long time-scales of the SPEI—to be negatively affected by drought. Thus, in areas with water balance approaching zero, the highest correlations between SPEI and NDVI, tree-ring width, and ANPP occur at time-scales between 8–10 mo, but in the areas with the most positive water balance, the highest correlations between SPEI and vegetation parameters are found at shorter time-scales than in subhumid regions. There are relatively few tree-ring records available for wet tropical rainforests. However, the available data for humid boreal and cool temperate forests show a dominant response to drought at shorter time-scales than is generally recorded for semiarid and subhumid forests (Fig. 3). Boreal and cool temperate moist forests are thus highly sensitive to drought (28), an indicator that tree species dominating these forests do not tolerate water deficits (29). This may explain why droughts predominantly affect tree growth in these areas at short time-scales, as even a short period of water deficit could have negative consequences in vegetation activity and plant growth. Although tree-ring data are not available for the most humid areas of the world such as the tropical rainforests, the results derived from the NDVI suggest a similar pattern: a predominant effect of short-term droughts on vegetation activity (Fig. 3 and *SI Appendix, Fig. S8*). Previous studies identified a lagged response between drought, declining plant growth (30), and forest mortality (31) in similar humid forests. Using various drought time-scales, we have shown that this lag might be usually short, as demonstrated by the response of vegetation activity, forest growth, and the ANPP to very short drought time-scales.

Knowledge of the dominant time-scales at which drought influences vegetation could be critical for the early detection of vegetation damage, but it may also be useful for identifying response patterns that determine the resistance of diverse vegetation types and biomes to drought. Drought vulnerability, however, is related not only to the resistance of vegetation to water stress but also to how fast it recovers after the episode has ended—that is, by its resilience. Drought resilience depends on a variety of factors including the severity and duration of the water deficit, but also the vegetation type (32), the type and magnitude of the damage (33), the plant growth rates and competition between species (34), and even variations in environmental conditions recorded at small spatial scales (35). Although our analysis did not focus on the recovery times of vegetation after drought disturbance, the concept of drought time-scales also seems to constitute a promising tool for analyzing vegetation resilience to drought.

It is noteworthy that the highest influence of drought on vegetation identified in arid areas does not imply necessarily that plant communities from those areas are more vulnerable to drought than those dominant in humid biomes (3, 10). Thus, the short drought time-scales that mostly affect both arid and humid biomes are probably indicative of different types of impacts and different biophysical mechanisms. In arid and semiarid regions, drought impacts usually result in decreased vegetation activity (15) and plant growth (11), but rarely cause plant mortality or long-term damage, as plant communities commonly exhibit a strong resistance to water stress (36), as they contain species that are well adapted to water shortage through different mechanisms (9). This is in agreement with studies analyzing long-term trends of vegetation greenness in arid ecosystems that demonstrated the capacity of such ecosystems to recover the initial greenness values after



2001–2009 to match the MODIS vegetation indices. The biweekly GIMMS-NDVI series were monthly composited according to the maximum monthly value to avoid different sources of noise. Taking into account the Gaussian shape of the monthly NDVI distributions (49), the 1981–2006 GIMMS-NDVI and the 2001–2009 MODIS EVI and NDVI series were standardized, according to the average and the SDs of the monthly series obtained for each NDVI pixel. In addition, annual ANPP and tree-ring growth series were also standardized before applying the analysis.

The impact of the SPEI interannual variability on vegetation activity, tree growth, and ANPP was assessed by means of parametric correlations using the Pearson coefficient for the entire period of available data, and considering a significance threshold of  $\alpha < 0.05$ . Twelve series of the GIMMS-NDVI (one per month) were obtained per pixel, and each one was correlated (Pearson coefficient) to the monthly 1- to 24-mo SPEI series of the pixel for the period 1981–2006. For each grid cell, we obtained 288 correlation values (24 for each month of the year). To eliminate the influence of phenology on the results, the monthly correlations were summarized seasonally and annually. For this purpose, the highest correlation found in each season was retained and also the SPEI time-scale at which the maximum seasonal correlation was obtained. After that, seasonal results were summarized annually following the same approach. The same methodology was applied to the MODIS datasets, ANPP, and tree-ring series (*SI Appendix*).

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Maximum annual and seasonal correlations between the GIMMS and MODIS vegetation indices and the SPEI as well as maximum annual correlations between tree-ring width and ANPP records and the SPEI were summarized according to the Holdridge classification by means of the calculation of the average correlation and average maximum SPEI time-scale for the different biomes. For this purpose, the average aridity conditions in each biome were quantified using precipitation and potential evapotranspiration data taken from the CRU TS3.0 dataset (*SI Appendix*).

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